

**Please read these instructions before completing the form**

Regulations implementing intergovernmental agreements with the United Kingdom (“UK IGAs”) and the OECD Common Reporting Standard (“CRS”) contained within the ‘Standard for the Automatic Exchange of Financial Account Information in Tax Matters’ require funds to collect and report certain information about an account holder.

You can find definitions of who is classified as an account holder, and other terms, in the Appendix.

If you are an Entity account holder do not complete this form. Instead you must complete a:

*Tax residency Self-Certification Form: Entity.*

For joint or multiple account holders please complete a separate form for each account holder.

**PART 1**

**IDENTIFICATION OF ACCOUNT HOLDER**

A. Account Holder Name:

B. Date of Birth (dd/mm/yyyy):

C. Place and Country of Birth:

D. Current Residential Address:

E. Mailing Address  
(if different from above):

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**PART 2**

**COUNTRY OF RESIDENCE FOR TAX PURPOSES AND TAXPAYER IDENTIFICATION NUMBER**

Please complete the following table indicating (i) where the account holder is tax resident and (ii) the account holder’s TIN for each country indicated. If you have more than one jurisdiction of tax residency, please provide details.

If a TIN is unavailable please provide the reason.

	COUNTRY OF TAX RESIDENCE	TIN	IF NO TIN AVAILABLE PLEASE EXPLAIN
1			
2			
3			

**PART 3**

**DECLARATION AND UNDERTAKINGS**

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs, which causes any of the information contained in this form to be inaccurate or incomplete..

Where legally obliged to do so, I hereby consent to the recipient sharing the information contained in this form and information regarding any reportable account with the relevant tax information authorities.

**PRINT NAME:**

**SIGNATURE:**  **DATE:**

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## APPENDIX - DEFINITIONS

**Note:** These are selected definitions provided to assist you with the completion of this form. Further details can be found within CRS, the associated “Commentary” to the CRS, and domestic guidance. This can be found at <http://www.oecd.org/tax/automatic-exchange/> and also within the applicable UK IGAs\* which can be found at <https://www.gov.uk/government/collections/automatic-exchange-of-information-agreements>.

\*For example, if you are investing in a Cayman fund, the applicable IGA would be:  
*The Agreement between the Government of the United Kingdom of Great Britain and Northern Ireland and the Government of the Cayman Islands to improve international tax compliance.*

If you have any questions please contact your tax adviser or domestic tax authority.

## ACCOUNT HOLDER

The “Account Holder” is the person listed or identified as the holder of a Financial Account by the Financial Institution that maintains the account. This is regardless of whether such person is a flow-through Entity. Thus, for example, if a trust or an estate is listed as the holder or owner of a Financial Account, the trust or estate is the Account Holder, rather than the trustee or the trust’s owners or beneficiaries. Similarly, if a partnership is listed as the holder or owner of a Financial Account, the partnership is the Account Holder, rather than the partners in the partnership. A person, other than a Financial Institution, holding a Financial Account for the benefit or account of another person as agent, custodian, nominee, signatory, investment advisor, or intermediary, is not treated as holding the account, and such other person is treated as holding the account.

## “TIN” (INCLUDING “FUNCTIONAL EQUIVALENT”)

The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at <http://www.oecd.org/tax/automatic-exchange/>

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